

BEFORE THE NORTH CAROLINA UTILITIES COMMISSION

APPLICATION FOR RATE INCREASE

INSTRUCTIONS

Notes or explanations placed in the margins of the application are acceptable. If additional space is needed, supplementary sheets may be attached. If any section does not apply, write "not applicable" or cross out the section.

APPLICANT

1. Trade name used for utility business _____
2. Name of owner (if different from trade name) _____
3. Business mailing address _____
City and state _____ Zip Code _____
4. Business street address (if different from mailing address) _____

5. Business telephone number _____
6. List the counties in which you provide water utility service _____

7. List the counties in which you provide sewer utility service _____

PROPOSED AND PRESENT RATES

	<u>Proposed Rates</u>	<u>Present Rates</u>
8. Metered Residential Service:		
Water:	_____	_____
Sewer:	_____	_____
9. Flat Rate Residential Service:		
Water:	_____	_____
Sewer:	_____	_____
10. Nonresidential Service (explain):		
Water:	_____	_____
Sewer:	_____	_____
11. Tap-on fees:		
Water:	_____	_____
Sewer:	_____	_____

OTHER PROPOSED RATES

12. Finance charge for late payment: _____
(NCUC Rule R12-9) specifies not more than one percent (1.0%) per month will be applied to the unpaid balance of all bills still past due 25 days after billing date.)
13. Reconnection charge if water service cut off by utility as specified in NCUC Rule R7-20: _____
14. Reconnection charge if water service discontinued at customer's request: _____
15. Reconnection charge if sewer service cut off by utility as specified in NCUC Rule R10-16: _____
16. Other charges: _____
17. What date are the proposed rates to become effective? _____
18. How long have the present rates been in effect? _____

PROPOSED BILLING

19. Frequency of billing shall be (monthly, quarterly, etc.) _____
20. Billing shall be for service (in advance or arrears) _____
21. Bills past due _____ days after the billing dates:
(NCUC Rule R12-9 specifies that bills shall not be past due less than fifteen (15) days after billing date).
22. Will regular billing be by written statement? (yes or no) _____

CONTRIBUTIONS IN AID OF CONSTRUCTION
(Including Tap Fees)

1. Has the utility collected any gross-up on contributions in aid of construction (CIAC), such as contributed plant and tap fees, since the test year of its last rate case? (yes or no) _____

EMPLOYEE SALARIES
(Including Owner)

	<u>Name</u>	<u>Duties</u>	<u>Salaries Paid During Year</u>	<u>Hours Worked During Year</u>
2.	_____	_____	\$ _____	_____
3.	_____	_____	\$ _____	_____
4.	_____	_____	\$ _____	_____

FINANCIAL INFORMATION

	<u>Source of Loans (For Utility Purposes)</u>	<u>Interest Rates</u>	<u>Amount Unpaid End of Year</u>
5.	_____	_____	\$ _____
6.	_____	_____	\$ _____
7.	_____	_____	\$ _____

OTHER FINANCIAL INFORMATION

8.	Stocks issued	\$ _____
9.	Accumulated (or retained) earnings or losses	\$ _____
10.	Cash on hand	\$ _____
11.	Accounts receivable	\$ _____
12.	Accounts payable	\$ _____
13.	Customer deposits on hand	\$ _____
14.	Materials and supplies	\$ _____
15.	Other investments	\$ _____

FILING INSTRUCTIONS

16. Seven (7) copies of the application and exhibits shall be filed with the North Carolina Utilities Commission, 4325 Mail Service Center, Raleigh, North Carolina 27699-4325. **Twenty-five (25) copies of a Class A or B utility company should be filed.** One of these copies must have original signature. (Applicants must make their copies if desired.) Class A and B utility companies are also required to file a written letter of intent to file a general rate case with the Commission thirty (30) days in advance of filing this application. Furthermore, Class A utility companies should file a NCUC Form W-1 along with this rate increase application form as required in Rule R1-17(12)(d).
17. Enclose a filing fee as required by G. S. §62-300. A Class A company (annual revenues of \$1,000,000 or more) requires a \$500 filing fee. A Class B company (annual revenues between \$200,000 and \$1,000,000) requires a \$250 filing fee. A Class C company (annual revenues less than \$200,000) requires a filing fee of \$100. **MAKE CHECK PAYABLE TO N.C. DEPARTMENT OF COMMERCE/UTILITIES COMMISSION.**

SIGNATURE

18. Application shall be signed and verified by the Applicant.

Signature

Date

19. (Typed or Printed Name) _____
personally appearing before me and being first duly sworn, says that the information contained in this application and in the exhibits attached hereto are true to the best of his/her knowledge and belief.

This the _____ day of _____, 20____.

Notary Public

Address

My Commission Expires: _____
Date